

**RECEIVED  
CENTRAL FAX CENTER****OCT 27 2004****IBM****Facsimile Transmission**

October 27, 2004

\_4\_ pages (including this sheet)

\_\_\_ Please confirm receipt

Office of Counsel, Intellectual Property Law  
3605 Hwy. 52 North, 917/006-1  
Rochester, Minnesota 55901  
Phone 507-253-5334  
Fax 507-253-2382  
E-mail [lpfank@us.ibm.com](mailto:lpfank@us.ibm.com)tlo 553-5334  
tlo 553-2382  
[ibmusm07@lpfank](mailto:ibmusm07@lpfank)**NOTICE**

This communication is intended only for the use of the individual or entity to which it is addressed, and may contain information that is privileged, confidential and exempt from disclosure under applicable law. If you are neither the intended recipient, nor the employee or agent responsible for delivering the communication to the intended recipient, you are hereby notified that any disclosure, dissemination, distribution or copying of this communication is strictly prohibited. If you have received this communication in error, please notify us immediately by telephone and return the original communication to us at the address above via the U.S. Postal Service. Thank you.

\_\_\_ **IBM CONFIDENTIAL**\_\_\_ **PREPARED BY IBM ATTORNEY - PRIVILEGE REVIEW REQUIRED**\_\_\_ **PERSONAL OR PERSONNEL-RELATED**

	<u>Name</u>	<u>Firm</u>	<u>Voice</u>	<u>Fax</u>
From:	Grant Johnson	IBM Rochester IPLaw	507-253-4660	507-253-2382
To:	USPTO			703-872-9306

Subject: Information Disclosure StatementOur Ref.: Serial Number 10/753520/Docket Number R06920030279451

**RECEIVED**  
**CENTRAL FAX CENTER****OCT 27 2004****IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of: Dettinger et al : Date: October 27, 2004  
Group Art Unit: 2171 : IBM Corporation  
Examiner: Unknown : Intellectual Property Law  
Serial No.: 10/753,520 : Dept. 917, Bldg. 006-1  
Filed: Jan. 08, 2004 : 3605 Highway 52 North  
Title: METHOD OF GENERATING : Rochester, MN 55901  
DATABASE TRANSACTION  
STATEMENTS BASED ON  
EXISTING QUERIES

**INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Applicants request that the information listed on the attached Form PTO/SB/08A be considered by the Office during the pendency of the above entitled application, pursuant to 37 C.F.R. §1.97. In accordance with 37 C.F.R. §1.97(h), the filing of this Information Disclosure Statement shall not constitute an admission that any information cited therein is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56(b). In the interest of full and complete disclosure to the Office, some or all of the art cited herein may not be considered by Applicant(s) or the Undersigned to be material under the new standard of materiality defined in 37 C.F.R. §1.56(b), enacted March 16, 1992, but may be material under the old standard of materiality defined in 37 C.F.R. §1.56(a), last amended on November 28, 1988, or may merely be

Serial No. 10/753,520  
Docket No. ROC920030279US1

- 1 -

technical background which may be of interest to the Examiner. In accordance with 37 C.F.R. §1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made.

To the best knowledge of the undersigned, this Information Disclosure Statement is being filed under 37 C.F.R. §1.97(b)(3) before the mailing of a first Office action on the merits in the present application. Accordingly no filing fee is required.

I hereby certify that this correspondence is being transmitted via facsimile to the United States Patent Office at facsimile number (703)872-9306

October 27, 2004  
(Date of Deposit)

Susan K. Berge  
Susan K. Berge

Respectfully submitted,

DETTINGER ET AL

By

Grant A. Johnson  
Grant A. Johnson  
Attorney Reg. No. 42,696  
IBM Corporation - Department 917  
3605 Highway 52 North  
Rochester, Minnesota 55901-7829  
Telephone: 1-507-253-4660

Serial No. 10/753,520  
Docket No. ROC920030279US1

- 2 -

PTO/SB/08A

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

Sheet 1 of 1

Application Number.: 10/753,520  
 Filing Date: Jan. 08, 2004  
 First Named Inventor: Dettinger  
 Art Unit: 2171  
 Examiner Name: Unknown  
 Attorney Docket Number.: ROC920030279US1

**U.S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number Number - Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns or Lines Where Relevant Passages or Figs. Appear
		US - 6,725,227	04-20-2004	Li	
		US -			
		US -			
		US -			
		US -			
		US -			
		US -			
		US -			
		US -			
		US -			
		US -			

**FOREIGN PATENT DOCUMENTS**

Examiner Initials	Cite No. <sup>1</sup>	Foreign Patent Document Country <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> Code (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns or Lines Where Relevant Passages or Figs. Appear	T <sup>6</sup>

Examiner Signature	Date Considered
--------------------	-----------------

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kind Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3).

<sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language translation is attached.